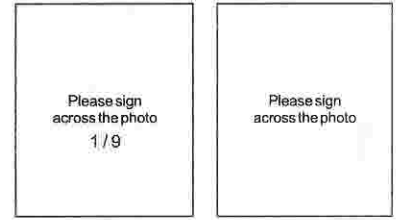


## Online MF Client Registration Form (Powered by Destimoney) (www.destimoney.com/mutual-funds)

**Destimoney Securities Private Limited**

Registered Office: B-1, G-01, Innova, Marathon NextGen,  
Opp. Peninsula Corporate Park, Off. Ganpatrao Kadam Marg,  
Lower Parel (West), Mumbai-400013.  
Ph : 91 22 6748 2222 • Fax : 91 22 6748 2399  
Email: mf@destimoney.com • Website: www.destimoney.com  
ARN Code: 77543



Sr. No.

**Mutual Fund - Online Client Registration Form**

All columns are to be filled in by the client and copies of relevant supporting documents need to be attached by the clients. (This information is the sole property of the online Applicant / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients.)

Existing Trading Client Code: \_\_\_\_\_

Date:

Client trading account details Please tick (✓)

Are you CDSL KYC compliant Please tick (✓)  Yes  No

Trading Type Status  Online  Call for Trade facility  Individual  NRI - Repatriable  NRI Non - Repatriable

**Individual Holder details**

**Client Details**

Mr. / Mrs. / Ms.:   
First Name Middle Name Last Name

Name of Guardian (In case of Minor):  Father's / Husband's Name:

Date of birth:           Gender:  Male  Female  Other Marital status:  Single  Married

Permanent Address:   
 City:   
 State:  Country:  Pin Code:

Correspondence Address (in case of NRI also provide foreign address):   
 City:   
 State:  Country:  Pin Code:

Mobile No.:  Telephone (Office):  PAN No.:

Telephone (Res.):  Email ID:

**Second Holder details**

Mr. / Mrs. / Ms.:   
First Name Middle Name Last Name

Name of Guardian (In case of Minor):  Father's / Husband's Name:

Date of birth:           Gender:  Male  Female  Other Marital status:  Single  Married

Permanent Address:   
 City:   
 State:  Country:  Pin Code:

Mobile No.:  Telephone (Office):  PAN No.:

Telephone (Res.):  Email ID:

**Individual Holder Details** Please tick (✓)

Graduate  Post graduate  Professional (Specify): \_\_\_\_\_  
 Other (Specify): \_\_\_\_\_

**Occupational Details (for Individuals)** Please tick (✓)

**Occupation**

Employed  Self-employed  Housewife  Service  Business  Professional  Other (Specify): \_\_\_\_\_

Name of the employer / establishment Office Address:   
 City:   
 State:  Country:  Pin Code:

Email ID:  Fax No.:

**Bank Account Details:**(Currently this facility is available only for **Axis, HDFC and ICICI Bank Account holders**)

Name of the Bank	Branch Address	Account Number	MICR Code. (9 Digit Code)	IFSC Code	Account type (Saving/Current/ NRI/Other)	Internet Status Enabled (Y/N)	Default for payin/Online Trading (Y/N)

Copy of a cancelled cheque leaf or passbook / Bank statement containing the name of the client should be submitted.

**Financial Details** Please tick (✓) Annual income range (Individual client)Below 1 lakh  1 – 5 lakhs  5 – 10 lakhs  10 – 25 lakhs  Above 25 lakhs Annual Net Worth (Non Individual client) \_\_\_\_\_ as on 

D	D	M	M	Y	Y	Y	Y
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**Stock Portfolio in Rs.** Please tick (✓)Nil  0 – 0.5 lakhs  0.5 – 1 lakh  1 – 2 lakhs  2 – 5 lakhs  5 – 10 lakhs  10 – 25 lakhs  above 25 lakhs **Nomination** (Do you want a nomination ? Yes  No  (If yes, please request for a nomination form from our sales representative)**Details of action taken by AMFI/SEBI or any Regulatory authority**

Details of action taken by AMFI /SEBI/ any authority against the applicant or my authorized persons in charge of dealing in securities for violation of securities laws/other economic offences? Yes / No if yes please specify

**Declaration:**

- The particulars given above are true to the best of my knowledge as on the date of making such application. I undertake to inform in writing of any changes in the particulars furnished above along with documentary proof required. I further agree that I shall be held liable for any false or misleading information given by me or suppression of any material fact and the same shall render my account liable for termination and further action.
- I declare that the rules and regulations pertaining to the account and terms and conditions of the agreement, which are in force, have been read and understood by me and I agree to abide by and bound by the rules as are in force from time to time for such account(s).
- I/we undertake to go through the offer document/key information memorandum on the website of AMCs before deciding to make investment in any mutual fund scheme.
- I/we hereby declare that the amount invested / to be invested by me/ us is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time.
- I/We hereby confirm that I being an the Non-Resident Indian Nationals/Persons of Indian Origin investors applying through this channel is not a United States Persons/a British Resident/An Australian or Canadian Resident, or is not acquiring securities on behalf of United States Persons, within the meaning of Regulation (S) under the United States Securities Act of 1933, as amended from time to time and that the funds are remitted from outside India through approved channels or from my/our funds in my/our NRE/NRO/FCNRAccount"

Sole/ First Holder: \_\_\_\_\_ 2/9

Second Holder: \_\_\_\_\_

**For Office Use Only**

Lead giver		Lead converter		Docket sent by Branch (Date)	
Branch Name		Unique Client Code		Docket received at HO (Date)	
Branch Code		Back Office Code		Date of Activation	

Name & Signature of Sourcing employee  
with employee code

\_\_\_\_\_

## Agreement Between Destimoney Securities Private Limited And Client:

This agreement is made and executed at ..... this ..... day of ..... 20 .....

M/s Destimoney Securities Private Limited, a Company incorporated under the Companies Act, 1956 and having its Registered Office at Techweb Centre, 6th Floor, Oshiwara, New Link Road, Behram Baug, Jogeshwari, Mumbai - 400102. (Hereinafter referred to as "DSPL" or "Distributor" which expression shall, unless repugnant to the context, mean and include its successors and permitted assigns) of the First Part

And

Mr./Mrs./Ms/M/s. ...., an individual/ a sole proprietary concern/ a Partnership firm/ a body corporate, registered/ incorporated, under the provisions of the Indian Partnership Act, 1932/ the Companies Act, 1956, having \*his / her / its residence / registered office at .....

Mr./Mrs./Ms/M/s. ...., an individual (hereinafter referred to as "the Client" which Expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/ her/ its respective heirs, Executors, administrators and legal representatives/ the partners for the time being, the survivor or survivors of them, and their Respective heirs, executors, administrators, legal representatives, successors, as the case may be), of the Other Part

WHEREAS:

- A. DSPL provides various Broking and Distribution services to its clients.
- B. DSPL proposes to distribute various financial products / securities such as units / schemes of various Mutual Funds, Insurance Products, New Fund Offer (NFO), Ongoing, and Initial Public Offer (IPO), (hereinafter referred to as "Distribution Services") to its Clients online through website, e-mails, recorded telephone lines etc and to facilitate the Clients to apply for purchase / redeem / sell / buyback or otherwise deal in the financial products / securities (hereinafter referred to as Transactions).
- C. The Client is desirous of availing the Distribution and other Services offered by DSPL through its website in the name and style of www.destimoney.com.com or any other website as may be informed by DSPL and also through its dedicated telephone lines using TPIN and email and has approached DSPL with that request.
- D. Upon the request of the Client, DSPL has agreed to provide the Distribution and other Services to the Client subject to the Client unconditionally agreeing to the terms and conditions contained in this agreement.

**NOW THIS AGREEMENT WITNESSETH AS UNDER:**

**Definitions:**

1. "Load" shall mean a charge, which an Asset Management Company (AMC) may collect on exit from or entry to a scheme. 2. "Net Asset Value (NAV)" of the Units of a Scheme will be computed by dividing the net assets of the Scheme by the number of Units outstanding on the valuation date. 3. "Purchase" shall mean subscription to the units of the various schemes of various Mutual Funds. 4. "Redemption" shall mean sale of the units of the various schemes of various Mutual Funds. 5. "Switch" shall mean an option to the investor to shift his existing investment in any of the schemes of a Mutual Fund to another scheme of the same Mutual Fund. 6. "Systematic Investment Plan (SIP)" or "Automatic Investment Plan (AIP)" shall mean an option available to the investor for investing in a specified frequency in a specified scheme of a Mutual Fund for a constant sum of investment. 7. "Systematic Withdrawal Plan (SWP)" or "Automatic Withdrawal Plan (AWP)" shall mean a facility provided to the investor to withdraw a predetermined amount in a specified frequency from a specified scheme of a Mutual Fund. 8. "Unit" shall mean the interest of an investor, which consists of one undivided share in the Net Assets of a Mutual Fund Scheme.
2. DSPL and the Client agree to be bound by all the Rules, Bye-laws and Regulations as may be in force from time to time, of SEBI, AMFI, IRDA and other statutory and self regulatory authorities / bodies, as well as the terms of Offer Documents of respective Mutual funds and related communications issued from time to time by such MFs, as may be applicable to the specific product/scheme.
3. The instructions with regard to the transactions shall be given solely by the Client or in case of non-individual by its duly authorized representative (collectively referred as "Client") to the Distributor. The instructions may be given through internet i.e. website and in case of non availability or inability to use internet i.e. website through, telephone or any other convenient mode as permitted by the Distributor and agreed among the parties for the specific products. All instructions given by the Client or the Client's duly authorized representative shall be binding on the Client. Further, the instructions given by the Clients to DSPL for transacting in mutual fund units will be forwarded by DSPL to the AMC in electronic log file format and the AMC will process the transactions on the basis of such electronic log file only, DSPL or the concerned Mutual Fund or their respective registrars shall not entertain any offline transaction requests.
4. After clear instructions are given by the Client, the transactions shall be put through by DSPL on behalf of the Client on the basis of the Power of Attorney executed by the Client in favor of DSPL. DSPL shall be entitled to furnish a certified copy of the Power of Attorney and other documents on behalf of the Client to the Issuer, Registrar, Mutual Fund, Insurer or other related/concerned party.
5. DSPL may put through the transactions in the Client's sole name or through a pool, so long as the Client's accounts and transactions can be clearly segregated and identified.
6. DSPL may refuse to act on any instructions unless they are given in the manner and form acceptable to DSPL. However, the DSPL shall have no responsibility to determine the authenticity of any instructions given or purported to be given by the Client. As all the instructions or orders are to be given by the Client either through the secured login provided to the Client, or through the dedicated telephone lines using TPIN provided to the Client it shall be presumed that all the instructions or orders received from the Client were given by the Client. DSPL shall not be liable for any transactions executed in good faith and without negligence by DSPL, even if the instructions turn out to be false or not given by the Client.
7. DSPL may at its discretion not carry out the Client's instruction where DSPL has reasons to suspect (which discretion of DSPL the Client shall not question or dispute) the instructions to be not genuine or otherwise improper, unclear or doubtful.
8. The Client undertakes to read all the relevant offer documents, Key Information Memorandum and addenda thereto and terms and conditions of all schemes of all mutual funds on the website of respective mutual fund, link for the same is provided on the website of DSPL, before entering into any transactions through DSPL. There

may be exit and entry loads in mutual funds which are mentioned in offer document. Client is requested to read offer document(s) before investments. DSPL shall not be under any obligation to verify compliance with any restriction on the Client's investment powers.

9. The Client represents and confirms that the information provided by him in this agreement as well as in KYC is true and that the same is not misleading and the Client has not suppressed any material fact which could render his account liable for termination.
10. The Client is responsible for all the personal and bank related details provided by the Client and be liable for all consequences which may arise as a result of any erroneous information provided by the Client either deliberately or otherwise. Further, any change in the relevant details of the Client including but not limited to the Demat account, Bank account, Address, email id, contact no.s, etc shall be compulsorily intimated to DSPL who may in turn liaise with the Issuer / Insurance Company/ Asset Management Company / Registrars to update such changes. The Issuer Company / Insurance Company / Asset Management Company / Registrars may reject such requests and in such an event DSPL shall not be liable for any such rejection.
11. The Client agrees that DSPL is entitled to disclose to a third party, all such information pertaining to the Client as may be required from time to time.
12. In case of change of address, closure of the Demat and/or Bank account or any other personal details of the Client, the Client shall immediately send a letter to DSPL signed by all the co-holders and the communication of such information is complete only when its receipt is acknowledged by DSPL.
13. The Client agrees that there will be no restrictions on him/her for transacting directly with the Issuer/ Insurance Company/ Asset Management Company/ Registrars at any time under the folio(s) tagged with the DSPL.
14. The Client acknowledges that the purchase / application instructions shall be processed by DSPL at its discretion, only if and after sufficient funds to cover the purchase / application price and other costs and charges are received by DSPL. In the case of Systematic Investment Plan, in the absence of sufficient allocated funds, no investment shall be made for that particular period. DSPL has the right of lien and set off on all my/our units and securities in its possession or custody for all sums due or payable by the client to DSPL hereunder. If after execution of any transaction, it is found that DSPL has not been provided with sufficient funds by the Client, the Client shall pay the deficit amount to DSPL forthwith on demand, failing which DSPL may dispose off Client assets under DSPL's control and also initiate appropriate legal proceedings against the Client for recovery of the shortfall amount together with interest and costs of such legal proceedings.
15. The Client declares and confirms that the amount being invested by the Client in any schemes of all mutual funds, insurance policies or other securities and products is obtained through legitimate sources and is not held or designed for the purpose of contravention of the provisions of any applicable Act, Rules and Regulations or Notifications and directions issued by any relevant Authority from time to time.
16. In case the Client is a Non-resident Indian, the Client confirms that the funds shall only be remitted from abroad through approved banking channels or drawn from permitted NRE / NRO / FCNR account. As investments from resident of United States of America, Australia, Britain and Canada are not generally permitted; DSPL shall not be liable for rejection of such applications by mutual fund, where investor is resident of United States, Australia, Britain or Canada. The Client further confirms that he is not located / resident of USA, Australia, Britain and Canada or in a country which prohibits / restricts securities investments outside that country.
17. If for any reasons, DSPL is unable to carry out the transactions as instructed by the Client to the extent of full quantity of units / securities / products, DSPL shall be entitled at its discretion and the Client hereby authorizes DSPL to carry out a transaction of a lesser quantity of units/ securities / products. DSPL shall not be responsible for the non-execution of the Client's instructions for the entire quantity or the remaining quantity.
18. The Client agrees and acknowledges that any instruction given or purported to be given by the Client before the cut off time as may be intimated by DSPL to the Client from time to time, will be processed on the same day or as per the offer document of respective scheme. Any instruction received after the cut off time will be processed on the next working day, if applicable.
19. The Net Asset Value (NAV) referred in this agreement shall refer to the last recorded NAV as available on [www.destimoney.com](http://www.destimoney.com) or on any other website as may be advised by DSPL as on that date and shall not apply to future orders. The NAV applicable to the Client's order would depend on the type of the fund and the time of the order. The cut off time for placement of a same day order with DSPL for transaction in a liquid fund purchase shall be 11.00 a.m. and for a non-liquid fund 2.00 p.m. Thus in a liquid fund, if a purchase order is placed with DSPL on a business day before 11.00 a.m., the order will be processed at the NAV as at the close of the business day and for orders placed after 11.00 a.m. or if placed on a non-business day, the order will be processed at the NAV as of the next business day. The order with respect to a non-liquid fund shall be similarly processed with respect to cut off time of 2.00 p.m. Therefore, the units of the schemes shall be allotted, redeemed or switched, as the case may be, at the Net Asset Value (NAV) prevalent on the date of the application, if the application for purchase, redemption or switch is received by DSPL before the cut-off time as specified above and consistent with the terms of the Scheme. DSPL shall take all necessary action to ensure that the NAV allotted is the NAV applicable on the date of the transaction. However, DSPL shall not be responsible and liable for any loss that may arise to the Client as a result of the allotment of units at the NAV as above being not at the NAV expected by the Client. For the sake of abundant Client information, it is brought to notice that as per SEBI guidelines the cutoff time for accepting orders in liquid funds is 12.00 noon and in non-liquid funds is 3.00 p.m. and taking into consideration the processing time between DSPL and the respective Mutual Fund, the cutoff time has been advanced to 11.00 a.m. and 2.00 p.m. respectively. Transaction request falling due on holiday shall be processed on next business day and respective NAV would be applicable as per mutual fund offer document or as declared by AMC from time to time.
20. Notwithstanding generality of clause 19 herein above, cut off time, if any, is specified in offer document or any other scheme related or scheme specific document, then the timing specified in such document shall prevail.
21. The client hereby agrees that he is aware about the fact that the cut-off timing as mentioned above in clause no. 20 & 21 may vary with the statutory cut-off timing as may be specified by SEBI under the SEBI Regulations and the SID/SAI of respective mutual fund from time to time for operational convenience.
22. In case of joint holders, instruction given by first or any holder will be acceptable to all the holders and DSPL will not be liable for any losses incurred by the client and / or

